Nationwide Mortgage Licensing System & Registry (NMLS)
Release 2011.4 – Release Notes
Release Date: October 24, 2011

SUMMARY: These release notes describe the enhancements and system fixes for Release 2011.4 of the Nationwide Mortgage Licensing System & Registry (NMLS), released October 24, 2011. Release 2011.4 is comprised mainly of new functionality related to the posting of State Regulatory Actions and Renewal/Reactivation of Federal Renewals and State Renewals. In addition, there are a number of General Enhancements and Problem Reports included in the 2011.4 Release.

State Regulatory Actions

SCR Number(s)	SCR Title/Content	Description
Various SCRs	State Regulatory Actions	State Regulatory Action (SRA) functionality will allow State Regulators to post state regulatory action information to NMLS. Information regarding SRAs posted to NMLS will be available to industry respondents in Composite View under a new View Regulatory Actions Section. In addition, an indicator regarding whether any viewable regulatory actions are posted against the record will be included on the Identifying Information Screen. SRA information will not be made available in Consumer Access until a future release.

Federal Renewals

SCR Number(s)	SCR Title/Content	Description
263960,265742 265585,265603 265586,265602 265621,265936 265622,265606 265620,265597 265767,265624 265598,266004 265604,265623 265601,265599	Federal Renewals	Federal Registration Renewals functionality will allow for the annual renewal and/or reactivation of federal agency-regulated Institution filing and MLO registrations in the federal context of NMLS. The new functionality will be available under the Renew/Reactivate tab. Institutions will be able to renew registrations in NMLS between November 1 and December 31. If an institution or MLO fails to renew and their registration is moved to an Inactive Failed to Renew status, the registrations will be eligible for reactivation at any time during the year. This functionality will allow for submission of renewal/reactivation candidates by the institution, payment of related fees, and attestation by the institution and MLO. (There will be no MLO renewal/reactivation fees until November 1, 2012 for renewal and until January 1, 2013 for reactivation. A $100.00 NMLS Processing Fee will be charged for Institution renewal/reactivation starting November 1, 2012.) All institution filings must be renewed using the Manual Option. A manual selection process will be available for institutions with 100 or fewer eligible MLOs remaining. All institutions will be allowed to submit eligible MLOs for renewal/reactivation via file upload. A real-time Renewal/Reactivation Activity Report will be available to support the identification of MLOs eligible for renewal/reactivation and tracking MLO progress during the renewal/reactivation process. Access the Federal Registry Renewals Page on the NMLS Resource Center for more information regarding the renewal/reactivation process, upload file specifications and the Renewal Handbook.

State Renewal Enhancements

SCR Number(s)	SCR Title/Content	Description
260997, 265833 264819, 253879 264822, 264818 265733, 265587 264820	State Renewal Enhancements	Several high priority new features for State Renewal were identified and developed per last year's Renewal Survey. In addition to the new features, you will notice a number of problems that have been fixed in the **Renewal Problem Reports** section of this document. The following new features have been developed in release 2011.4: • A system set Renewal prevention flag will prevent submission of a renewal request for MLO licenses identified by the state regulator if the MLO is not compliant with SAFE Continuing Education (CE) requirements. Such licenses will not be eligible for renewal until CE requirements have been satisfied. • NMLS will send notification when a renewal request has been approved. Company users can subscribe to receive the notification for company/branch and/or sponsored individual licenses. Account Administrators will receive the notification until another company user is subscribed to the notification as desired. Individuals will automatically receive notification of approval of a renewal request related to their licenses. • A post submission/attestation landing page is being implemented to direct renewal candidates to refer to and comply with jurisdiction-specific renewal requirements located on the NMLS Resource Center. • Additional enhancements and text changes are being made throughout the renewal process to improve clarity, reduce confusion and better support the workflow. Valuable information regarding the state license renewal process can be found on the NMLS Resource Center.

STATE REPORT ENHANCEMENTS

SCR Number(s)	SCR Title/Content	Description	Context
265716 266789	Add Company/Individual Email Address to Three Reports	The following reports have been updated to add company contact / individual email addresses: 1. Criminal Background Check Compliance 2. Individual Active License Items 3. Company/Branch Active License Items **Note:** The email address for companies will be taken from the Company Base Record contact person and the email address for individuals will be taken from the Individual Base Record.	State

GENERAL ENHANCEMENTS

SCR Number(s)	SCR Title/Content	Description	Context
265691	Change Logic to Send Notifications to users with Disabled accounts	Previously, users with disabled accounts would no longer receive system notifications. This was problematic, because company users were not getting notifications essential to the maintenance of licenses in NMLS. After this release, users with accounts disabled by the system will now receive notifications to which they subscribe.	State and Federal
259120 261817 262482 262317	Section 508 Compliance Updates	Web pages have been modified in NMLS to meet the legal requirements of Section 508. Changes in this release may be for pages in the federal registry, state context or NMLS Consumer Access. Section 508, an amendment to the United States Workforce Rehabilitation Act of 1973, is a federal law mandating that all electronic and information technology developed, procured, maintained, or used by the federal government be accessible to people with disabilities. Section 508 is limited to the federal sector only.	State and Federal
265193	MCR: Components page not to display Required indicator	The Mortgage Call Reports Component page has been changed to exclude the Required indicator for all types of MCR filings based on feedback that the industry finds it confusing. Text on the page also has been updated.	State
265192	MCR: E-FC filing updates for A220 and A230	Two changes to the Mortgage Call Report Expanded-Financial Condition Component filing are being implemented: 1. The Field descriptions in the **Derivative Assets: Schedule A-220** section (A220G and A220H) have been updated. 2. The calculation criteria in the **Schedule A-230: Other Assets** section (A230I) has been corrected. **Note for #2:** Any existing filings submitted prior to the change would contain data calculated using the prior calculation. This field is not used in completeness checks.	State
261922	Production fee amounts for 2012	Federal MLO registration fees will be adjusted down to $30 starting January 1, 2012, from the $60 initially set to accommodate the opening of the registry and the first year renewal period.	Federal

RENEWAL PROBLEM REPORTS

SCR Number	Problem	Solution	Context
254296	Attestation to be enabled only when jurisdictions available for attestation	The Oath checkbox and Attest and Submit button will only be enabled when the individual user is presented with a jurisdiction requiring attestation. Previously, the Oath checkbox and Attest and Submit button was enabled regardless of whether or not jurisdictions were available to select for attestation. Subsequently, selecting the button generated an error message that one or more jurisdictions must be selected although there are none to select. This was confusing to users that were not familiar with renewal attestation.	State
254260	Individual Renewals messages are confusing because of the order in which they appear.	The order of the messages will appear as follows: 1. "Your selections have been saved. Access the Renewals Cart to pay for and submit renewal requests for the licenses/registrations marked as Renew." 2. "No licenses/registrations are currently available for renewal for the selected filter criteria."	State
260472	"Individuals Not Available For Renewal" page is not displaying licenses in a 'not yet been requested' status.	The Individual "Not Available For Renewal" page will be updated to include licenses where the license status is 'not renewable' and has 'not yet been requested' (e.g. Pending – Incomplete).	State
260410	Ineligible for Renewal—Individual report is displaying licenses with a future Renewal Year.	The Ineligible for Renewal—Individual report has been modified to exclude licenses with a future Next Renewal Year, therefore only licenses that currently do not satisfy the conditions for renewal will display.	State
251301	Need to add Renewals Renew role to Invoice related use cases	A company user with only the Renewals Renew role shall have the ability to search for an invoice in addition to creating one.	State

SCR Number	Problem	Solution	Context
249644	Duplicate Attestation History records created when a user double clicked or used the back button and then re-attested.	The system shall prevent two renewal attestation history records from getting created.	State

GENERAL PROBLEM REPORTS

SCR Number	Problem	Solution	Context
265974	The search result for Individual Full Name is not displaying the current legal name.	The search result displays the current full legal name.	State and Federal
264769	Invoice Search Results Download CSV is not displaying Bulk Filing records.	The Invoice Search Results Download CSV includes all records, including multiple records from Bulk Filings.	State and Federal
264137	Fingerprint cards are not being processed correctly when there are 2 or more cards received for the same individual in a short period of time.	NMLS will now handle this condition by processing the first card successfully and creating an exception for the second card, so that it will not disrupt processing of the first card.	State and Federal
264706	The MU2 filing status is automatically changed to 'Attested' whenever a user, who is both a MU2 and MU4, elects to refresh a pending MU2 filing from a previously submitted MU4 filing.	The filing status will change to "Unsubmitted" rather than "Attested".	State
266132	Company/Branch Roster displays incorrect license type for some companies after the license type has been updated.	The correct license type is now displayed for those companies on the Company/ Branch Roster.	State
264567	When a MU1 filing is amended, NMLS sometimes creates a duplicate entry in the Other Trade Names table, generating multiple search results on company name and duplicate Other Trade Names in Consumer Access.	The Company Name table no longer contains duplicate other trade names.	State

SCR Number	Problem	Solution	Context
252941	Foreign Characters not appearing correctly in the subject line of the 'Sponsorship may be required' notification for a company user.	The subject line of the 'Sponsorship may be required' notification for a company user displays foreign characters correctly.	State
265098	Name changes by a Support User are not reflected in Proctor preventing MLOs from scheduling an appointment with test providers.	Name changes by Support Users are now reflected in Proctor, allowing MLOs schedule appointments as needed.	State
263723	Sponsored Individual Roster report not including individuals associated with a registered location that is not licensed by the regulator requesting the report.	The Sponsored Individual Roster report has been modified to include individuals associated with a registered location not licensed by the regulator requesting the report.	State
265752	CBC request not opened for individuals in certain cases because the system does not force the user to select a CBC Method, which is required for successful creation of a CBC request.	The selection of a CBC Method will now be enforced, resulting in CBC request creation in all instances.	Federal
264906	The Start Date field for Linked employment is allowing invalid dates to passing the completeness check, resulting in a Null value being saved when the user submits their file.	Adjustments were made so only legitimate dates can be saved in the Start Date field of a Linked Employment, eliminating Null values from being saved..	Federal
264923	Registration status is not set to 'active' under the following circumstances: When a BulkMU4R filing is submitted, the CBC Authorization is received, the Company confirms the employment and then, as the last step, pays for the filing with a credit card.	The Registration is now being set to being set to 'active' appropriately.	Federal
264162	The Federal—View Composite sandbar reflects the company name from the State context instead of the institution name from the Federal context, so if a company changes their name in the Federal context it is not reflected in the Federal—View Composite sandbar.	Federal—View Composite sandbar reflects the institution name from the Federal context instead of the company name from the State context.	Federal

SCR Number	Problem	Solution	Context
266254	Consumer Access: Company License Other Trade Names are not reflected appropriately in Consumer Access when the Other Trade Names are flagged as "forced" in NMLS.	Consumer Access has been modified to reflect the name in Other Trade Names when flagged as "forced" in NMLS.	N/A